



The Volcker Rule

Are You Ready?

Seminar Info:

April 21st, 2015

CMA Midtown
1410 Broadway
23rd Floor
NY, NY 10018

Presented by:
George Bollenbacher
Regulatory Partner

More below...

The Volcker Rule

In July, 2015, the proprietary trading requirements of the Volcker Rule (VR) will become effective, although at least one regulator has been conducting pre-compliance reviews already.

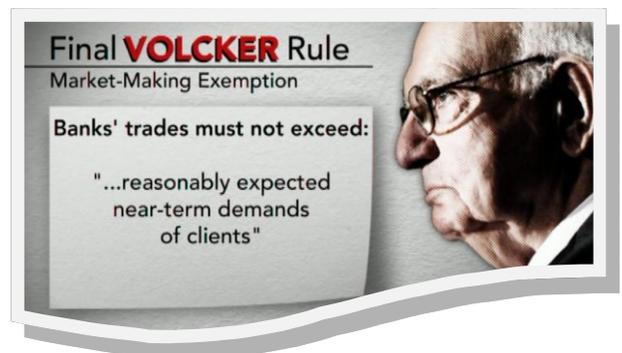
On April 21, CMA will hold one-day VR readiness seminars in their offices at 1410 Broadway to assist clients in prioritizing their VR efforts.

Who Should Attend

From Financial Institutions and Derivatives Users:

Heads of:

- Compliance
- Trading Desks
- Operations
- Pre Trade
- Post Trade
- Legal
- Risk
- Fund Management



What the seminar will cover

About the presenter



- The Volcker Rule Approach
- Covered funds prohibitions
- The trading exemptions
- General compliance
- Compliance for specific exemptions
- Process implications
- Technology implications
- Prioritizing your efforts
- Dealing with examinations
- The EU version

George Bollenbacher

is a NY based Partner and Head of Capital Markets Advisors' Regulatory Reform Practice. George specializes in the implementation of derivatives and banking reform. He spent twenty years as a bond trader and ten years in the technology business.

For the last fifteen years he has assisted many banks, asset managers and custodians in implementing process and technology changes. He is the author of The Professional's Guide to the US Government Securities Market and The New Business of Banking.

George is a subject matter expert who is a frequent contributor of topical material to independent research firms such as TabbForum.com and Track.com.



The seminar is designed to help you:

- Understand the processes behind the Volcker Rule in both the US and Europe
- Clarify the latest definitions around types of funds and fund ownership
- Gain better understanding of the prohibitions on principal trading, and the liquidity, hedging, underwriting, and market-making exemptions
- Discover what practical steps need to be taken now

The seminars will be led by George Bollenbacher, a CMA partner who has specialized in regulatory reform for the last five years, and is the author of numerous articles on the subject on Tabb Forum and [Track.com](http://www.track.com).

Registration Information

The seminar price is \$995. Lunch is included

Sign up here: <http://www.capmarkconsulting.com/volcker>